



PARAMETER RESERVATION PROPERTY OF SERVER A

System we coccess assesses a more construction of the property of the contract of the contract

SECURITY CLASSIFICATION OF THIS PA	AD-A18	6 266		-5111	E COBY )
			PAGE		
1a. REPORT SECURITY CLASSIFICATION	TIC	1b. RESTRICTIVE	MARKINGS		
2a. SECURITY CLASSIFICATION AUTHOR	116		V/AVAILABILITY O		
2b. DECLASSIFICATION / DOWNG AD G SCH	1 0 1097	appro dist	ribution unli	mited.	
4. PERFORMING ORGANIZATION REAL RT NU	MBER(S)	1	ORGANIZATION F		
	<b>D</b>				
6a. NAME OF PERFORMING ORGANIZATION	Ob OFFICE SYMBOL (If applicable)		ONITORING ORGA	NIZATION	i
University of Arizona		AFOSR/N			
6c. ADDRESS (City State, and ZIP Code) Dept. of Computer Science		AFOSE/	7b ADDRESS (City, State, and ZIP Code) AFOSE (NM		
Tucson, Arizona 85721		Bldg 41 Bollf.	0 :413 DC <b>203</b> ;	<b>32-</b> 6448	}
8a. NAME OF FUNDING/SPONSORING ORGANIZATION	8b. OFFICE SYMBOL (If applicable)	9. PROCUREMEN	IT INSTRUMENT ID	ENTIFICA	TION NUMBER
AFOSR	NM	AFOSR-8	5-0089		
8c. ADDRESS (द्वाप्) State, and ZIP Code)			FUNDING NUMBER		
71dg 410		PROGRAM ELEMENT NO.	PROJECT NO.	TASK NO.	WORK UNIT ACCESSION NO.
Belling ALF DC 20332-64	4 <b>&amp;</b>	61102F	2304	A2	
11 TITLE (Include Security Classification)		<u> </u>			
SAGUARO: A DISTRIBUTED OPERA	ATING SYSTEM BASED	ON POOLS OF	SERVERS		
12 PERSONAL AUTHOR(S) Professor Gregory R. Andrews	e e				
13a. TYPE OF REPORT 13b. TIM	E COVERED	14. DATE OF BEP	ORT (Year, Month,	Day) 15	PAGE COUNT
Final FROM 1/1/85 TO12/31/85 2/5/86					
16. SUPPLEMENTARY NOTATION					
17. COSATI CODES	18. SUBJECT TERMS (	Continue on rever	se if necessary and	d identify	by block number)
FIELD GROUP SUB-GROUP					
19. ABSTRACT (Continue on reverse if necess	sary and identify by block r	number)			
RESEARCH SUMMARIES:					
RESEARCH SUMMARIES: There are four projects underway that either have used the equipment purchased					
using the funds from this grant or will use the equipment in the near future. Titles and					
abstracts of representative papers describing these projects follow. It is the first					
project-the Saguaro Distributed Operating System-that formed the basis for the URIP grant proposal.					
proposar.					
·					
20 DISTRIBUTION / AVAILABILITY OF ABSTRA		21. ABSTRACT S	ECURITY CLASSIFIC	ATION	
UNCLASSIFIED/UNLIMITED SAME  22a NAME OF RESPONSIBLE INDIVIDUAL	AS RPT DTIC USERS	22h TELEBUONE	Unclude Acce Co.		SEIGE CYMPOL
Maj. John Thomas		"(2025767 <u>e</u>	dindyde Area Codi	?) [220 0	OFFICE SYMBOL NM
<b>DD FORM 1473,</b> 84 MAR	3 APR edition may be used ur	itil exhausted.	CECL MITY	CLASCIFIC	CATION OF THIS BAGE

STORE THE SECOND SECOND

# INSTRUCTIONS FOR PREPARATION OF REPORT DOCUMENTATION PAGE

### GENERAL INFORMATION

The accuracy and completeness of all information provided in the DD Form 1473, especially classification and distribution limitation markings, are the responsibility of the authoring or monitoring DoD activity.

Because the data input on this form will be what others will retrieve from DTIC's bibliographic data base or may determine how the document can be accessed by future users, care should be taken to have the form completed by knowledgeable personnel. For better communication and to facilitate more complete and accurate input from the originators of the form to those processing the data, space has been provided in Block 22 for the name, telephone number, and office symbol of the DoD person responsible for the input cited on the form.

All information on the DD Form 1473 should be typed.

Only information appearing on or in the report, or applying specifically to the report in hand, should be reported. If there is any doubt, the block should be left blank.

Some of the information on the forms (e.g., title, abstract) will be machine indexed. The terminology used should describe the content of the report or identify it as precisely as possible for future identification and retrieval.

WOTE: Unclassified abstracts and titles describing classified documents may appear separately from the documents in an unclassified context, e.g., in DTIC announcement bulletins and bibliographies. This must be considered in the preparation and marking of unclassified abstracts and titles.

The Defense Technical Information Center (DTIC) is ready to offer assistance to anyone who needs and requests it.

Call Data Base Input Division, Autovon 284-7044 or Commercial (202) 274-7044.

### SECURITY CLASSIFICATION OF THE FORM

In accordance with DoD 5200.1-R, Information Security Program Regulation, Chapter IV Section 2, paragraph 4-200, classification markings are to be stamped, printed, or written at the top and bottom of the form in capital letters that are larger than those used in the text of the document. See also DoD 5220.22-M, Industrial Security Manual for Safeguarding Classified Information, Section II, paragraph 11a(2). This form should be unclassified, if possible.

### SPECIFIC BLOCKS

**Block 1a.** Report Security Classification: Designate the highest security classification of the report. (See DoD 5220.1-R, Chapters I, IV, VII, XI, Appendix A.)

**Block 1b.** Restricted Marking: Enter the restricted marking or warning notice of the report (e.g., CNWDI, RD, NATO).

Block 2a. Security Classification Authority: Enter the commonly used markings in accordance with DoD 5200.1-R, Chapter IV, Section 4, paragraph 4-400 and 4-402. Indicate classification authority.

Block 2b. Declassification / Downgrading Schedule: Indicate specific date or event for declassification or the notation, "Originating Agency Determination Required" or "OADR." Also insert (when applicable) downgrade to on (e.g., Downgrade to Confidential on 6 July 1983). (See also DoD 5220.22-M, Industrial Security Manual for Safeguarding Classified Information, Appendix II.)

MOTE: Entry must be made in Blocks 2a and 2b except when the original report is unclassified and has never been upgraded.

Block 3. Distribution/Availability Statement of Report: Insert the statement as it appears on the report. If a limited distribution statement is used, the reason must be one of those given by DoD Directive 5200.20. Distribution Statements on Technical Documents, as supplemented by the 18 OCT 1983 SECDEF Memo, "Control of Unclassified Technology with Military Application." The Distribution Statement should provide for the broadest distribution possible within limits of security and controlling office limitations.

Block 4. Performing Organization Report Number(s): Enter the unique alphanumeric report number(s) assigned by the organization originating or generating the report from its research and whose name appears in Block 6. These numbers should be in accordance with ANSI STD 239.23-74, "American National Standard Technical Report Number." If the Performing Organization is also the Monitoring Agency, enter the report number in Block 4.

Block 5. Monitoring Organization Report Number(s): Enter the unique alphanumeric report number(s) assigned by the Monitoring Agency. This should be a number assigned by a DoD or other government agency and should be in accordance with ANSI STD 239.23-74. If the Monitoring Agency is the same as the Performing Organization, enter the report number in Block 4 and leave Block 5 blank.

<u>Block 6a.</u> Name of Performing Organization: For in-house reports, enter the name of the performing activity. For reports prepared under contract or grant, enter the contractor or the grantee who generated the report and identify the appropriate corporate division, school, laboratory, etc., of the author.

<u>Block 6b.</u> Office Symbol: Enter the office symbol of the Performing Oganization.

<u>Block 6c.</u> Address: Enter the address of the Performing Organization. List city, state, and ZIP code.

Block 7a. Name of Monitoring Organization: This is the agency responsible for administering or monitoring a project, contract, or grant. If the monitor is also the Performing Organization, leave Block 7a. blank. In the case of joint sponsorship, the Monitoring Organization is determined by advance agreement. It can be either an office, a group, or a committee representing more than one activity, service, or agency.

<u>Block 7b.</u> Address: Enter the address of the Monitoring Organization. Include city, state, and ZIP code.

Block &a. Name of Funding/Sponsoring Organization: Enter the full official name of the organization under whose immediate funding the document was generated, whether the work was done in-house or by contract. If the Monitoring Organization is the same as the Funding Organization, leave &a blank.

**Block 8b.** Office Symbol: Enter the office symbol of the Funding/Sponsoring Organization.

<u>Block 8c.</u> Address: Enter the address of the Funding/ Sponsoring Organization. Include city, state and ZIP code.

**Block 9.** Procurement Instrument Identification Number: For a contractor grantee report, enter the complete contract or grant number(s) under which the work was accomplished. Leave this block blank for in-house reports.

Block 10. Source of Funding (Program Element, Project, Task Area, and Work Unit Number(s): These four data elements relate to the DoD budget structure and provide program and/or administrative identification of the source of support for the work being carried on. Enter the program element, project, task area, work unit accession number, or their equivalents which identify the principal source of funding for the work required. These codes may be obtained from the applicable DoD forms such as the DD Form 1498 (Research and Technology Work Unit Summary) or from the fund citation of the funding instrument. If this information is not available to the authoring activity, these blocks should be filled in by the responsible DoD Official designated in Block 22. If the report is funded from multiple sources, identify only the Program Element and the Project, Task Area, and Work Unit Numbers of the principal contributor.

Block 11. Title: Enter the title in Block 11 in initial capital letters exactly as it appears on the report. Titles on all classified reports, whether classified or unclassified, must be immediately followed by the security classification of the title enclosed in parentheses. A report with a classified title should be provided with an unclassified version if it is possible to do so without changing the meaning or obscuring the contents of the report. Use specific, meaningful words that describe the content of the report so that when the title is machine-indexed, the words will contribute useful retrieval terms.

If the report is in a foreign language and the title is given in both English and a foreign language, list the foreign language title first, followed by the English title enclosed in parentheses. If part of the text is in English, list the English title first followed by the foreign language title enclosed in parentheses. If the title is given in more than one foreign language, use a title that reflects the language of the text. If both the text and titles are in a foreign language, the title should be translated, if possible, unless the title is also the name of a foreign periodical. Transliterations of often used foreign alphabets (see Appendix A of MIL-STD-8478) are available from DTIC in document AD-A080 800.

Block 12. Personal Author(s): Give the complete name(s) of the author(s) in this order: last name, first name, and middle name. In addition, list the affiliation of the authors if it differs from that of the performing organization.

List all authors. If the document is a compilation of papers, it may be more useful to list the authors with the titles of their papers as a contents note in the abstract in Block 19. If appropriate, the names of editors and compilers may be entered in this block.

**Block 13a.** Type of Report: Indicate whether the report is summary, final, annual, progress, interim, etc.

**Block 13b.** Time Covered: Enter the inclusive dates (year, month, day) of the period covered, such as the life of a contract in a final contractor report.

**Block 14.** Date of Report: Enter the year, month, and day, or the year and the month the report was issued as shown on the cover.

Block 15. Page Count: Enter the total number of pages in the report that contain information, including cover, preface, table of contents, distribution lists, partial pages, etc. A chart in the body of the report is counted even if it is unnumbered

Block 16. Supplementary Notation: Enter useful information about the report in hand, such as: "Prepared in cooperation with...," "Translation a\* (or by)...," "Symposium...," If there are report numbers for the report which are not noted elsewhere on the form (such as internal series numbers or participating organization report numbers) enter in this block

Block 17. COSATI Codes: This block provides the subject coverage of the report for announcement and distribution purposes. The categories are to be taken from the "COSATI Subject Category List" (DoD Modified), Oct 65, AD-624 000. A copy is available on request to any organization generating reports for DoD. At least one entry is required as follows:

Field - to indicate subject coverage of report.

**Group** - to indicate greater subject specificity of information in the report.

**Sub-Group** - if specificity greater than that shown by Group is required, use further designation as the numbers after the period (.) in the Group breakdown. Use <u>only</u> the designation provided by AD-624 000.

**Example:** The subject "Solid Rocket Motors" is Field 21, Group 08, Subgroup 2 (page 32, AD-624 000).

Block 18. Subject Terms: These may be descriptors, keywords, posting terms, identifiers, open-ended terms, subject headings, acronyms, code words, or any words or phrases that identify the principal subjects covered in the report, and that conform to standard terminology and are exact enough to be used as subject index entries. Certain acronyms or "buzz words" may be used if they are recognized by specialists in the field and have a potential for becoming accepted terms. "Laser" and "Reverse Osmosis" were once such terms.

If possible, this set of terms should be selected so that the terms individually and as a group will remain UNCLASSIFIED without losing meaning. However, priority must be given to specifying proper subject terms rather than making the set of terms appear "UNCLASSIFIED" <u>Each term on classified reports</u> must be immediately followed by its security classification, enclosed in parentheses

For reference on standard terminology the "DTIC Retrieval and Indexing Terminology" DRIT-1979, AD-A068 500, and the DoD "Thesaurus of Engineering and Scientific Terms (TEST) 1968, AD-672 000, may be useful.

Block 19. Abstract: The abstract should be a pithy, brief (preferably not to exceed 300 words), factual summary of the most significant information contained in the report. However, since the abstract may be machine-searched, all specific and meaningful words and phrases which express the subject content of the report should be included, even if the word limit is exceeded.

If possible, the abstract of a classified report should be unclassified and consist of publicly releasable information (Unlimited), but in no instance should the report content description be sacrificed for the security classification.

MOTE: An unclassified abstract describing a classified document may appear separately from the document in an unclassified context e.g., in DTIC announcement or bibliographic products. This must be considered in the preparation and marking of unclassified abstracts.

For further information on preparing abstracts, employing scientific symbols, verbalizing, etc., see paragraphs 2.1(n) and 2.3(b) in MIL-STD-847B.

<u>Block 20.</u> Distribution / Availability of Abstract: This block must be completed for all reports. Check the applicable statement: "unclassified / unlimited," "same as report," or, if the report is available to DTIC registered users."

**Block 21.** Abstract Security Classification: To ensure proper safeguarding of information, this block must be completed for all reports to designate the classification level of the entire abstract. For CLASSIFIED abstracts, each paragraph must be preceded by its security classification code in parentheses.

Block 22a,b,c. Name, Telephone and Office Symbol of Responsible Individual: Give name, telephone number, and office symbol of DoD person responsible for the accuracy of the completion of this form.

# AFOSR-TR- 87-1224

# Final Report — DoD URIP Grant Saguaro: A Distributed Operating System Based on Pools of Servers

Grant Number:

AFOSR-85-0089

Grant Duration:

January 1, 1985 - December 31, 1985

Awarded to:

The University of Arizona Tucson, Arizona 85721

Principal Investigator:

Gregory R. Andrews

Department of Computer Science

AFOSR Program Manager:

Dr. Robert N. Buchal

Directorate on Mathematical and Information Sciences

Gregory R. Andrews February 5, 1986 SOPY SISPECTED 7

Accesia	n For		
NTIS DTIC Unambd Jastho	TAB sunced	<b>b</b>	
By District		us. S. ST. ST. ST. ST. ST. ST. ST. ST. ST.	
As	zar sorffiy	Class	
Dist	76.73(E.2) Sowi		
A-1			

## 1. Equipment Acquired

The equipment purchased using the funds provided by this grant consisted of eight scientific workstations manufactured by Sun Microsystems, Inc. As explained in the interim report, the equipment actually purchased differed slightly from that contained in the original proposal. In particular, we were able to purchase a richer configuration of workstations by taking advantage of new models that were introduced by Sun following the submission of the original proposal. The specifics as to the configuration of machines purchased and their cost are given in Section 3.

#### 2. Research Summaries

There are four projects underway that either have used the equipment purchased using the funds from this grant or will use the equipment in the near future. Titles and abstracts of representative papers describing these projects follow. It is the first project—the Saguaro Distributed Operating System—that formed the basis for the URIP grant proposal.

Project: The Saguaro Distributed Operating System

Paper title: The Design of the Saguaro Distributed Operating System

Authors: G.R. Andrews, R.D. Schlichting, R. Hayes, T. Purdin

This paper describes the design of the Saguaro operating system for computers connected by a local-area network. Systems constructed on such an architecture have the potential advantages of concurrency and robustness. In Saguaro, these advantages are realized at the system level by the use of pools of server processes and decentralized allocation protocols. These advantages are also made available to the user through low-cost mechanisms to control placement of executing commands and files, and to support semi-transparent file replication and access. Saguaro also makes extensive use of a type system to describe user data such as files and to specify the types of arguments to commands and procedures. This enables the system to assist in type checking and leads to a user interface in which command-specific templates are available to facilitate command invocation. A mechanism is also provided to enable users to construct applications containing general graphs of communicating commands.

Project: The Saguaro Distributed Operating System

Paper title: Mechanisms to Enhance File Availability in Distributed Systems

Authors: R.D. Schlichting, G.R. Andrews, T. Purdin

The design of the file system component of the Saguaro distributed operating system is described. The goal of this file system is to enhance file availability in a way that is easy to use yet inexpensive to implement. The logical file system seen by users forms a single tree and file names are location-transparent. However, any file can be placed at the user's discretion in any of the physical file systems. Also, two mechanisms—reproductions and metafiles—are provided to support file replication. Together these mechanisms enable a user to set up collections of replicated files and then access them as if they were normal, unreplicated files. Moreover, a file open is guaranteed to succeed if at least one of the copies is available. A prototype implementation of reproductions and metafiles on top of Berkeley Unix has confirmed that these mechanisms are also useful in

existing systems, and that they are relatively inexpensive to implement.

Project: The SR Distributed Programming Language

Paper title: An Overview of the SR Language and Implementation

Authors: G.R. Andrews, R.A. Olsson, M. Coffin, I.J.P. Elshoff, K. Nilsen, T. Purdin

SR is a language for programming distributed systems ranging from operating systems to application programs. Based on our experience, the language has evolved considerably during the past year. This paper describes the current version of the language and gives an overview of its implementation. The main language constructs are still resources and operations. Resources encapsulate processes and variables they share; operations provide the primary mechanism for process interaction. One way in which SR has changed is that both resources and processes are now created dynamically. Another change is that the mechanisms for operation invocation—call and send—and operation implementation—proc and in—have been extended and integrated. Consequently, all of local and remote procedure call, rendezvous, asynchronous message passing, multicast, and semaphores are supported. We have found this flexibility to be very useful for distributed programming. Moreover, by basing SR on a small number of well-integrated concepts, the language is also relatively simple and has a reasonably efficient implementation.

Project: Distributed and Mixed Language Programming

Paper title: Facilitating Mixed Language Programming in Distributed Systems

Authors: R. Hayes, R.D. Schlichting

An approach for facilitating mixed language programming in distributed systems is presented. It is based on adding a generic remote procedure call facility to each language, and the use of a type system to describe procedural interfaces, as well as data to be transferred between procedures. This type scheme also specifies a machine independent representation for all data. By defining standard mappings for each programming language, the data conversions required for cross-language calls may be performed, automatically in most cases, by active agents that provide the interface between program components written in different languages. When necessary, explicit control of the conversion is possible. A prototype of this system has been constructed using Berkeley Unix.

Project: Designing Fault-Tolerant Software

Paper title: Failure Handling in Distributed Programming Languages

Authors: R.D. Schlichting, T. Purdin

One property that makes failures difficult to handle in programs is that the actions of a failed component may occur asynchronously with respect to execution of the program. In this paper, an approach to dealing with this asynchrony is presented. It is based on treating a failure as an event in a concurrent system of processes, and then integrating failure handling mechanisms into distributed programming languages. The technique is illustrated by considering the class of failures suffered by fail-stop processors, and proposing extensions to the Syn-

CONTROL OF CONTROL OF CONTROL OF CONTROL OF CONTROL

chronizing Resources (SR) distributed programming language to handle such failures. Two SR programs using these mechanisms are presented.

## 3. Equipment Configuration and Cost

The following abbreviations are used in the equipment descriptions.

C = CPU

E = Ethernet controller

M = monitor

K = keyboard

m = mouse

V = video controller

m	odel	descrip.	price		
Deskside SunStation (diskfull):					
S	un-2/120	C,E,1MB,M,K,m,V	14365.00		
C	)pt10	1MB mem	2805.00		
C	)pt63	130MB disk	11815.00		
C	)pt73	1/4" tape drive	3400.00		
Rackmountab	ole SunStatio	n:			
S	un/Rack01	76" rack	3315.00		
S	un-2/170R1	C,E,2MB	16065.00		
0	pt02	software	382.50		
O	pt40	2nd E	1700.00		
0	pt68R1	380MB disk	16915.00		
E	THKET	transceiver,cable	500.00		
Desktop SunS	Station (diskf	'ull):			
· S	un-2/50-2	C,E,2MB,M,K,m,V	7565.00		
0	pt01	software	382.50		
0	pt55	71MB disk	5015.00		
E	THKET	transceiver,cable	500.00		
Desktop SunStation (diskfull):					
		C,E,2MB,M,K,m,V	7565.00		
	pt01	software	382.50		
O	pt55	71MB disk	5015.00		
E	THKET	transceiver,cable	500.00		
Desktop SunStation (diskfull):					
S	un-2/50-4	C,E,4MB,M,K,m,V	10115.00		
		software	382.50		
0	pt55	71MB disk	5015.00		
E	ТНКЕТ	transceiver,cable	500.00		

# Desktop SunStations (diskless):

Sun-2/50-2	C,E,2MB,M,K,m,V	7565.00
ETHKET	transceiver,cable	500.00
Sun-2/50-2	C,E,2MB,M,K,m,V	7565.00
ETHKET	transceiver,cable	500.00
Sun-2/50-2	C,E,2MB,M,K,m,V	7585.00
ETHKET	transceiver,cable	500.00

Total Acquisition Cost: 138,395.00

Kalinaudi kanda kanda kanda baran basan basan basan basan b

THE PERSONAL PROPERTY OF THE PROPERTY OF THE PERSONAL PROPERTY OF THE P

KAND SANDANA KANDANA